





2812

<b>AMENDMENT TRANSMITTAL LETTER (Large Entity)</b>				Docket No. <b>FIS920000402US1</b>							
Applicant(s): <b>Basant Jagannathan, et al.</b>											
Serial No. <b>09/885,792</b>	Filing Date <b>June 20, 2001</b>	Examiner <b>Christopher W. Lattin</b>		Group Art Unit <b>2812</b>							
Invention: <b>EA Non-Self-Aligned SiGe Heterojunction Bipolar Transistor</b>											
<b>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</b>											
Transmitted herewith is an amendment in the above-identified application. The fee has been calculated and is transmitted as shown below.											
<b>CLAIMS AS AMENDED</b>											
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE						
TOTAL CLAIMS	16 -	20 =	0 x	\$18.00	\$0.00						
INDEP. CLAIMS	2 -	3 =	0 x	\$84.00	\$0.00						
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00						
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>					<b>\$0.00</b>						
<input checked="" type="checkbox"/> No additional fee is required for amendment. <input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____ A duplicate copy of this sheet is enclosed. <input type="checkbox"/> A check in the amount of _____ to cover the filing fee is enclosed. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0458 A duplicate copy of this sheet is enclosed. <input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16. <input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17.											
 Joseph P. Abate, Attorney Registration No. 30,238 International Business Machines Corporation Dept. 18G / Bldg. 300-482 2070 Route 52 Hopewell Junction, New York 12533 Phone: 845-894-4633 Fax: 845-892-6363			Dated: November 5, 2002								
CC:			<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td colspan="2">         I certify that this document and fee is being deposited on          November 5, 2002 with the U.S. Postal Service as first          class mail under 37 C.F.R. 1.8 and is addressed to the          Assistant Commissioner for Patents, Washington, D.C.          20231.       </td> </tr> <tr> <td colspan="2" style="text-align: center;">           Signature of Person Mailing Correspondence       </td> </tr> <tr> <td colspan="2" style="text-align: center;"> <b>Karen Cinq-Mars</b>          Typed or Printed Name of Person Mailing Correspondence       </td> </tr> </table>			I certify that this document and fee is being deposited on November 5, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.		 Signature of Person Mailing Correspondence		<b>Karen Cinq-Mars</b> Typed or Printed Name of Person Mailing Correspondence	
I certify that this document and fee is being deposited on November 5, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.											
 Signature of Person Mailing Correspondence											
<b>Karen Cinq-Mars</b> Typed or Printed Name of Person Mailing Correspondence											



I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS  
BEING DEPOSITED WITH THE UNITED STATES POSTAL  
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ADDRESSED TO: ASSISTANT COMMISSIONER FOR  
PATENTS, WASHINGTON, D.C. 20231, ON

November 5, 2002  
Karep Chitt-Mans

*Karep Chitt-Mans* 11/5/02  
Signature & Date

# 6/A  
11-20-02  
Muller

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re Application of :

November 5, 2002

BASANTH JAGANNATHAN, ET AL. :

Group Art No.: 2812

Serial No. 09/885,792 / :

Examiner: Christopher W. Lattin

Filed: June 20, 2001 / :

IBM Corporation  
Bldg. 300, Zip 482  
2070 Route 52  
Hopewell Junction, New York 12533

Title: A NON-SELF-ALIGNED SI/GE  
HETEROJUNCTION BIPOLAR  
TRANSISTOR :

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NOV 15 2002  
TECHNOLOGY CENTER 2800

**AMENDMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Responsive to the **Office Action** dated **August 12, 2002**, kindly amend the above-identified application as follows, and consider the following remarks, all without prejudice:

**IN THE CLAIMS**

**Kindly amend claims 13 and 15 to appear as follows:**